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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/690,192	10/21/2003	Brant L. Candelore	80398P558X	3671
8791 7590 09/05/2007 BLAKELY SOKOLOFF TAYLOR & ZAFMAN 1279 OAKMEAD PARKWAY SUNNYVALE, CA 94085-4040			EXAMINER MORAN, RANDAL D	
			ART UNIT 2135	PAPER NUMBER
			MAIL DATE 09/05/2007	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

mn

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/690,192	CANDELORE, BRANT L.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Randal D. Moran	2135	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 6/11/2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>6/11/2007</u> .   | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. Claims 1-32 are pending in this application.
2. The information disclosure statement filed on 6/11/2007 has been considered by the examiner.
3. Below, Examiner has pointed out particular references contained in the prior art(s) of record in the body of this action for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claims, other passages and figures may apply as well. Applicant should consider the entire prior art as applicable as to the limitations of the claims. It is respectfully requested from the applicant, in preparing the response, to consider fully each reference in its entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior arts or disclosed by the examiner.

***Drawings***

1. The objection to the drawings is withdrawn in view of replacement drawing sheet filed 6/11/2007.

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. **Claims 1-14, 18, 10-13, 18-23, 27 and 28** are rejected under 35 U.S.C. 102(b) as being anticipated by **Kocher et al. (US 6,289,455)**, hereafter "Kocher".
3. Considering **Claim 1, 13, 22, and 28**, Kocher discloses a descrambler (Fig. 2) comprising: a memory to store a unique key (column 11- lines 27-30); a control word key ladder logic coupled to the memory (column 11- lines 6-65), the control word ladder logic comprising a first process block configured to generate a first derivative key of the unique key (column 11- lines 13-32), a second process block configured to generate a mating key from a mating key generator using the first derivative key (column 11- 35-45), and a third process block configured to

Art Unit: 2135

recover a control word by decrypting an encrypted control word using the mating key (column 11- lines 51-56); a first cryptographic unit coupled to the control word key ladder logic (Fig. 2); the first cryptographic unit to descramble incoming content in a scrambled format using the control word (column 11- lines 60-65).

4. Considering **Claims 2, 3, 14, and 23**, Kocher discloses the descrambler of claim 1 being a single integrated circuit or a set-top-box (Fig. 2 – item 225 and item 210, column 22- lines 6-9).
5. Considering **Claim 4**, Kocher discloses the first value is a derivative key generated by performing a decryption operation on the CA random value using the unique key (column 11- lines 13-32).
6. Considering **Claim 5**, Kocher discloses the first value is a derivative key derived by performing a decryption operation on a combination of the CA random value and padding data (column 11- lines 13-32), the combination being at least 128-bits in length (column 14- lines 43-47, Fig. 8).
7. Considering **Claims 6 and 7**, Kocher discloses the second value is a mating key recovered by performing a decryption operation on a mating key generator using the derivative key (column 11- lines 35-56) the mating key generator being a message comprising one or more of the following: a manufacturer identifier, a

Art Unit: 2135

service provider identifier, a conditional access (CA) provider identifier and a mating key sequence number (column 11- lines 35-45).

8. Considering **Claims 8 and 9**, Kocher discloses the third value is a control word recovered by performing a decryption operation on an encrypted control word using the mating key (column 11- lines 60-65, column 13- lines 54-58).
9. Considering **Claim 10**, Kocher discloses a third cryptographic unit to encrypt the descrambled incoming content prior to transmission to a digital device (Fig. 2- item 225 and item 215).
10. Considering **Claim 11**, Kocher discloses a copy protection ladder logic to produce a copy protection key used by the third cryptographic unit to encrypt the descrambled incoming content (column 12- lines 8-57, column 27- lines 40-47).
11. Considering **Claim 12**, Kocher discloses the copy protection ladder logic to produce a copy protection key by performing a decryption operation on a concatenation of a random value and a plurality of bits to produce a result being at least 128-bits in length, using a logical derivation being a result of an Exclusive OR (XOR) operation of the unique key and a predetermined value (column 12- lines 8-57, column 13- lines 33-41).

12. Considering **Claim 18**, Kocher discloses a copy protection ladder logic to produce a copy protection key based on a plurality of process blocks (column 12- lines 8-57), wherein a first process block configured to generate a derivative key based on a second random value and either the unique key or a logical derivation of the unique key (column 12- lines 24-32), a second process block configured to recover a user key from an encrypted user key using the derivative key (column 12- lines 32-40), and a third process block configured to generate a copy protection key from a copy protection key generator using the user key (column 12- lines 50-57).
13. Considering **Claim 19 and 27**, Kocher discloses a third cryptographic unit to encrypt the descrambled incoming content using the copy protection key prior to transmission to a digital device (column 27- lines 40-47).
14. Considering **Claim 20 and 21**, Kocher discloses a one-time programmable, non-volatile memory coupled to the control word key ladder logic and the copy protection ladder logic, the non-volatile memory to store the unique key (Fig. 2).

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2135

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claim 26 and 29** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kocher**.



3. Considering **Claim 26**, Wasilewski does not explicitly disclose a copy protection ladder logic coupled to the first cryptographic unit, the copy protection ladder logic comprising a fourth process block configured to generate a second derivative key based on a random value and the unique key; a fifth process block configured to decrypt an encrypted user key using the second derivative key to recover a user key; and a sixth process block configured to generate a copy protection key from a copy protection key generator using the user key (column 13- Multiple Targeting, Batch Keys).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the teachings of Kocher by adding a 4<sup>th</sup>-6<sup>th</sup> process block for the benefit of further encrypting the data and increasing the security of the unit and allowing for the reduction of REM messages, therefore, saving bandwidth.

4. Considering **Claim 29**, is rejected for the same reasons as claim 1 stated above. The ability to create a first key makes it obvious to create a second and third key using the same logic.
4. **Claims 15-17, 24, 25, 30, 31, and 32** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kocher in view of Wasilewski (US 2004/003008)**, hereafter "Wasilewski".

5. Considering **Claims 15 and 24**, Kocher does not explicitly disclose a second cryptographic unit to decrypt incoming encrypted program data received out-of-band by a digital device implemented with the descrambler.

Wasilewski discloses a second cryptographic unit to decrypt incoming encrypted program data received out-of-band by a digital device implemented with the descrambler ([0013]).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Kocher by encrypting data received out-of-band as taught by Wasilewski in order to encrypt incoming authorization information ([0013]).

6. Considering **Claim 16, 17, and 25**, the combination of Kocher and Wasilewski discloses the encrypted program data comprises an encrypted entitlement management message that comprises at least two of (i) a smart card identifier, (ii) a length field, (iii) a mating key generator, (iv) at least one key identifier and (v) at least one key associated with the at least one key identifiers (Kocher-column 8- lines 45-51, column 11- lines 12-17).

Art Unit: 2135

7. Considering **Claim 30**, is rejected for the same reasons as claims 13-16 stated above. The ability to perform the same transformation multiple times would have been obvious to one of ordinary skill in the art.
8. Considering **Claim 31**, is rejected for the same reasons as claims 22-27 stated above. The ability to perform the same transformation multiple times would have been obvious to one of ordinary skill in the art.
9. Considering **Claim 32**, the combination of Kocher and Wasilewski discloses the bitwise logical operation is an Exclusive OR operation (Kocher- Fig. 8).

### ***Response to Arguments***

1. Applicant's arguments with respect to **Claims 1-32** have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

1. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Randal D. Moran whose telephone number is 571-270-1255. The examiner can normally be reached on M-F: 7:00 - 4:00.

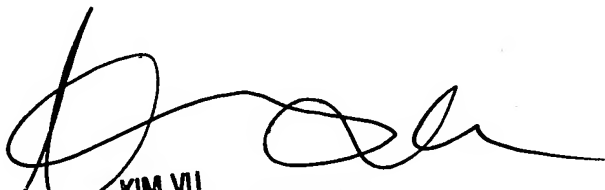
Art Unit: 2135

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on 571-272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Randal D. Moran  
/RDM/

8/21/2007



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